



IFW

Attorney's Docket No. PA-5380-RFB

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Brian C. Case

Customer No.: 9896

Serial No.: 10/828,716

Group No.: 3738

Filed: April 21, 2004

Examiner: Not Assigned

For: ARTIFICIAL VALVE PROSTHESIS WITH IMPROVED FLOW DYNAMICS

Commissioner for Patents  
Alexandria, VA 22313

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:  
[x] incorrectly entered  
and/or  
[ ] omitted.

Error in	Correct data
1. [ ] Applicant's name	1.
2. [ ] Applicant's address	2.
3. [ ] Title	3.
4. [x] Filing Date	4. April 21, 2004
5. [ ] Serial Number	5.
6. [ ] Foreign/PCT Application Re:	6.
7. [ ] Other	7.

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

- [ x ] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Alexandria, VA 22313.

**FACSIMILE**

- [ ] transmitted by facsimile to the Patent and Trademark Office.

Date: September 29, 2004

  
Signature

Pamm Garwood  
(Type or print name of person certifying)

(Request for Corrected Filing Receipt [5-8]--page 1 of 2)

3.

(Complete the following applicable item)

A. ☒ The correction(s) is/are not due to any error by applicant and no fee is due.

OR

B. ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

☐ Enclosed is check for \$25.00.

☒ Charge Account 13-2528 \$25.00.

Reg. No.: 44,497

Tel. No.: (812) 330-1824



SIGNATURE OF ATTORNEY

Charles W. Agnew  
(Type or print name of attorney)

P.O. Box 2269  
P.O. Address

Bloomington, IN 47402

**RECEIVED**

SEP 15 2004

**MARKED UP COPY**

✓



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/828,716	08/30/2004 4/21/04	3738	1664	PA-5380-RFB	9	29	10

9896

COOK GROUP PATENT OFFICE  
 P.O. BOX 2269  
 BLOOMINGTON, IN 47402



CONFIRMATION NO. 4764

UPDATED FILING RECEIPT



\*OC000000013790695\*

Date Mailed: 09/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Brian C. Case, Bloomington, IN;  
 Jacob A. Flagle, Bloomington, IN;  
 Andrew K. Hoffa, Bloomington, IN;  
 Darin G. Schaeffer, Bloomington, IN;  
 Michael L. Garrison, Indianapolis, IN;

**Assignment For Published Patent Application**

Cook Incorporated, Bloomington, IN

**Power of Attorney:**

Richard Godlewski-30056  
 James Hunt-40276  
 Charles Agnew-44497

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/465,141 04/24/2003  
 and claims benefit of 60/530,781 12/18/2003

**Foreign Applications**

If Required, Foreign Filing License Granted: 06/25/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is,  
**US10/828,716**

PC	TR
APPR	
1 2 3	
PCM	
Scan	
GTW	
CKD	

**Projected Publication Date:** 12/23/2004

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Artificial valve prosthesis with improved flow dynamics

**Preliminary Class**

623

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).